

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

Governance Information (Authority-Related)

| Question | | Response | URL (if Applicable) |
|----------|---|----------|---|
| 1. | Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies/annual-reports-and-financial-statements.html |
| 2. | As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies/annual-reports-and-financial-statements.html |
| 3. | Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL? | Yes | N/A |
| 4. | Does the independent auditor provide non-audit services to the Authority? | No | N/A |
| 5. | Does the Authority have an organization chart? | Yes | http://www.slcida.com/ida-local-development-corp/board-members.html |
| 6. | Are any Authority staff also employed by another government agency? | Yes | St. Lawrence County Industrial Development Agency |
| 7. | Has the Authority posted their mission statement to their website? | Yes | http://www.slcida.com/ida-local-development-corp/mission.html |
| 8. | Has the Authority's mission statement been revised and adopted during the reporting period? | No | N/A |
| 9. | Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL. | | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies/annual-reports-and-financial-statements.html |

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Governance Information (Board-Related)

| Question | Response | URL (If Applicable) |
|---|----------|---|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL? | Yes | N/A |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL? | Yes | N/A |
| 3. Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL? | Yes | N/A |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established): | | http://www.slcida.com/ida-local-development-corp/idaldc-meetings-information/idaldc-committee-information.html |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL? | Yes | N/A |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year | | http://www.slcida.com/ida-local-development-corp/idaldc-meetings-information.html |
| 7. Has the Board adopted bylaws and made them available to Board members and staff? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies.html |
| 8. Has the Board adopted a code of ethics for Board members and staff? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies.html |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls? | Yes | N/A |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL? | Yes | N/A |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL? | | |
| Salary and Compensation | Yes | N/A |
| Time and Attendance | Yes | N/A |
| Whistleblower Protection | Yes | N/A |
| Defense and Indemnification of Board Members | Yes | N/A |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL? | Yes | N/A |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes | N/A |
| 14. Was a performance evaluation of the board completed? | Yes | N/A |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts? | No | N/A |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies.html |

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Board of Directors Listing

| | | | |
|---|---------------|--|-------|
| Name | Blevins, Lynn | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 5/19/2015 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 05/19/2021 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | No |
| Designee Name | | Ex-Officio | |

| | | | |
|---|------------|--|-------|
| Name | Hall, Mark | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 10/5/2015 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 10/05/2021 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

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| | | | |
|---|-----------------------|--|-------|
| Name | Hooper, Donald | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/3/2017 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | Pleasure of Authority | Complied with Training Requirement of Section 2824? | No |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | |

| | | | |
|---|----------------|--|-------|
| Name | LaBaff, Ernest | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 8/7/2017 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 08/07/2020 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | No |
| Designee Name | | Ex-Officio | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

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| | | | |
|---|-------------------|--|-------|
| Name | McMahon, Andrew J | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 9/12/2016 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 09/12/2019 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | |

| | | | |
|---|-----------------|--|-------|
| Name | Morrill, Steven | Nominated By | Local |
| Chair of the Board | No | Appointed By | Local |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 2/28/2018 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 02/28/2021 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | No |
| Designee Name | | Ex-Officio | |

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Fiscal Year Ending: 12/31/2018

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| | | | |
|---|------------------|--|-------|
| Name | Staples, Brian W | Nominated By | Local |
| Chair of the Board | Yes | Appointed By | Local |
| If yes, Chair Designated by | Elected by Board | Confirmed by Senate? | N/A |
| Term Start Date | 8/7/2017 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 08/07/2020 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | No |
| Designee Name | | Ex-Officio | |

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Staff Listing

| Name | Title | Group | Department/ Subsidiary | Union Name | Bargaining Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the Individual | Overtime paid by Authority | Performance Bonus | Extra Pay | Other Compensati on/Allowanc es/Adjustme nts | Total Compensati on paid by Authority | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by a State or local governme nt |
|------------------------|--------------------------------------|-------------|---------------------------|---------------|--------------------|-------------------------|--------|------------------------------|---|----------------------------------|----------------------|-----------|--|---|---|--|
| Gilbert, Kimberly A | Chief Financial Officer | Executive | | | | FT | Yes | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | Yes | No |
| Kelly, Patrick J | Chief Executive Officer | Executive | | | | FT | Yes | \$6,987.00 | \$4,299.69 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$4,299.69 | Yes | No |
| Pinkerton, John | Project Manager | Operational | | | | PT | Yes | \$48,958.00 | \$48,844.32 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$48,844.32 | No | |
| Plastino, Thomas | Deputy Chief Executive Officer | Executive | | | | FT | Yes | \$88,218.00 | \$87,911.30 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$87,911.30 | No | |

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Benefit Information

| | |
|---|----|
| During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated With the Authority after those individuals left the Authority? | No |
|---|----|

Board Members

| Name | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|-------------------|--------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Blevins, Lynn | Board of Directors | | | | | | | | | | | | X | |
| Hall, Mark | Board of Directors | | | | | | | | | | | | X | |
| Hooper, Donald | Board of Directors | | | | | | | | | | | | X | |
| LaBaff, Ernest | Board of Directors | | | | | | | | | | | | X | |
| McMahon, Andrew J | Board of Directors | | | | | | | | | | | | X | |
| Morrill, Steven | Board of Directors | | | | | | | | | | | | X | |
| Staples, Brian W | Board of Directors | | | | | | | | | | | | X | |

Staff

| Name | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|------|-------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
|------|-------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|

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Subsidiary/Component Unit Verification

| | |
|--|-----|
| Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? | Yes |
| Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? | No |

| Name of Subsidiary/Component Unit | Status |
|-----------------------------------|--------|
|-----------------------------------|--------|

Request Subsidiary/Component Unit Change

| Name of Subsidiary/Component Unit | Status | Requested Changes |
|-----------------------------------|--------|-------------------|
|-----------------------------------|--------|-------------------|

Request Add Subsidiaries/Component Units

| Name of Subsidiary/Component Unit | Establishment Date | Purpose of Subsidiary/Component Unit |
|-----------------------------------|--------------------|--------------------------------------|
|-----------------------------------|--------------------|--------------------------------------|

Request Delete Subsidiaries/Component Units

| Name of Subsidiary/Component Unit | Termination Date | Reason for Termination | Proof of Termination Document Name |
|-----------------------------------|------------------|------------------------|------------------------------------|
|-----------------------------------|------------------|------------------------|------------------------------------|

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Summary Financial Information
SUMMARY STATEMENT OF NET ASSETS

| | | | Amount |
|-------------------------------|---|--|------------------------|
| Assets | | | |
| Current Assets | | | |
| | Cash and cash equivalents | | \$8,695,346.00 |
| | Investments | | \$1,791,043.00 |
| | Receivables, net | | \$1,176,802.00 |
| | Other assets | | \$22,120.00 |
| | Total Current Assets | | \$11,685,311.00 |
| Noncurrent Assets | | | |
| | Restricted cash and investments | | \$0.00 |
| | Long-term receivables, net | | \$4,675,527.00 |
| | Other assets | | \$0.00 |
| | Capital Assets | | |
| | | Land and other nondepreciable property | \$0.00 |
| | | Buildings and equipment | \$850,662.00 |
| | | Infrastructure | \$0.00 |
| | | Accumulated depreciation | \$154,321.00 |
| | | Net Capital Assets | \$696,341.00 |
| | Total Noncurrent Assets | | \$5,371,868.00 |
| | Total Assets | | \$17,057,179.00 |
| Liabilities | | | |
| Current Liabilities | | | |
| | Accounts payable | | \$1,326.00 |
| | Pension contribution payable | | \$0.00 |
| | Other post-employment benefits | | \$0.00 |
| | Accrued liabilities | | \$0.00 |
| | Deferred revenues | | \$5,058.00 |
| | Bonds and notes payable | | \$0.00 |
| | Other long-term obligations due within one year | | \$0.00 |
| | Total Current Liabilities | | \$6,384.00 |
| Noncurrent Liabilities | | | |

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| | | | |
|----------------------------|---|--|-----------------|
| | Pension contribution payable | | \$0.00 |
| | Other post-employment benefits | | \$0.00 |
| | Bonds and notes payable | | \$0.00 |
| | Long Term Leases | | \$0.00 |
| | Other long-term obligations | | \$0.00 |
| | Total Noncurrent Liabilities | | \$0.00 |
| Total Liabilities | | | \$6,384.00 |
| Net Asset (Deficit) | | | |
| Net Assets | | | |
| | Invested in capital assets, net of related debt | | \$0.00 |
| | Restricted | | \$16,726,532.00 |
| | Unrestricted | | \$324,263.00 |
| | Total Net Assets | | \$17,050,795.00 |

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

| | | | Amount |
|--------------------------------|---------------------------------|--|----------------|
| Operating Revenues | | | |
| | Charges for services | | \$37,298.00 |
| | Rental & financing income | | \$246,050.00 |
| | Other operating revenues | | \$3,395.00 |
| | Total Operating Revenue | | \$286,743.00 |
| Operating Expenses | | | |
| | Salaries and wages | | \$140,246.00 |
| | Other employee benefits | | \$805.00 |
| | Professional services contracts | | \$212,650.00 |
| | Supplies and materials | | \$114.00 |
| | Depreciation & amortization | | \$29,066.00 |
| | Other operating expenses | | \$19,688.00 |
| | Total Operating Expenses | | \$402,569.00 |
| Operating Income (Loss) | | | (\$115,826.00) |
| Nonoperating Revenues | | | |
| | Investment earnings | | \$11,744.00 |
| | State subsidies/grants | | \$0.00 |
| | Federal subsidies/grants | | \$0.00 |
| | Municipal subsidies/grants | | \$0.00 |
| | Public authority subsidies | | \$0.00 |

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| | | | |
|---|---------------------------------------|--|-----------------|
| | Other nonoperating revenues | | \$100,000.00 |
| | Total Nonoperating Revenue | | \$111,744.00 |
| Nonoperating Expenses | | | |
| | Interest and other financing charges | | \$0.00 |
| | Subsidies to other public authorities | | \$0.00 |
| | Grants and donations | | \$326,984.00 |
| | Other nonoperating expenses | | \$105,515.00 |
| | Total Nonoperating Expenses | | \$432,499.00 |
| | Income (Loss) Before Contributions | | (\$436,581.00) |
| Capital Contributions | | | \$0.00 |
| Change in net assets | | | (\$436,581.00) |
| Net assets (deficit) beginning of year | | | \$17,487,376.00 |
| Other net assets changes | | | \$0.00 |
| Net assets (deficit) at end of year | | | \$17,050,795.00 |

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Current Debt

| Question | | Response |
|----------|--|----------|
| 1. | Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | No |
| 2. | If yes, has the Authority issued any debt during the reporting period? | |

New Debt Issuances

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

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Schedule of Authority Debt

| Type of Debt | | | Statutory Authorization(\$) | Outstanding Start of Fiscal Year(\$) | New Debt Issuances(\$) | Debt Retired (\$) | Outstanding End of Fiscal Year(\$) |
|-------------------------------------|-------------------------------------|--|-----------------------------|--------------------------------------|------------------------|-------------------|------------------------------------|
| State Obligation | State Guaranteed | | | | | | |
| State Obligation | State Supported | | | | | | |
| State Obligation | State Contingent Obligation | | | | | | |
| State Obligation | State Moral Obligation | | | | | | |
| Other State-Funded | Other State-Funded | | | | | | |
| Authority Debt - General Obligation | Authority Debt - General Obligation | | | | | | |
| Authority Debt - Revenue | Authority Debt - Revenue | | | | | | |
| Authority Debt - Other | Authority Debt - Other | | | | | | |
| Conduit | | Conduit Debt | | | | | |
| Conduit | | Conduit Debt - Pilot Increment Financing | | | | | |
| TOTALS | | | | | | | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

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Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

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Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

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Property Documents

| Question | | Response | URL (If Applicable) |
|----------|---|----------|---|
| 1. | In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared? | Yes | http://www.slcida.com/about-the-slc-ida-cdc/policies-documents/annual-reports-financial-statements.html |
| 2. | Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property? | Yes | http://www.slcida.com/ida-local-development-corp/bylaws-and-policies/annual-reports-and-financial-statements.html |
| 3. | In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes | N/A |

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Grant Information

| | |
|--|--|
| Source of Grant Funds | Other |
| Name of Grant Recipient | City of Ogdensburg |
| Address Line1 | 330 Ford Street |
| Address Line2 | |
| City | OGDENSBURG |
| State | NY |
| Postal Code - Plus4 | 13669 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$26,235.00 |
| Date Grant Awarded | 6/13/2017 |
| Purpose of Grant | Land Acquisition/Development /Infrastructure Costs (i.e., Water/Sewer) |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

| | |
|--|--|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Fort la Presentation |
| Address Line1 | PO Box 1749 |
| Address Line2 | |
| City | OGDENSBURG |
| State | NY |
| Postal Code - Plus4 | 13669 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$26,473.00 |
| Date Grant Awarded | 6/8/2014 |
| Purpose of Grant | Land Acquisition/Development /Infrastructure Costs (i.e., Water/Sewer) |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

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| | |
|--|---------------------------------------|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Frederic Remington Art Museum |
| Address Line1 | 303 Washington Street |
| Address Line2 | |
| City | OGDENSBURG |
| State | NY |
| Postal Code - Plus4 | 13669 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$14,235.00 |
| Date Grant Awarded | 6/1/2018 |
| Purpose of Grant | Equipment and Fixed Asset Acquisition |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

| | |
|--|---|
| Source of Grant Funds | Other |
| Name of Grant Recipient | North Country Children's Museum |
| Address Line1 | 41 Elm Street |
| Address Line2 | |
| City | POTSDAM |
| State | NY |
| Postal Code - Plus4 | 13676 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$15,341.00 |
| Date Grant Awarded | 6/30/2015 |
| Purpose of Grant | Education/Training (Business Development) |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

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| | |
|--|---|
| Source of Grant Funds | Other |
| Name of Grant Recipient | St. Lawrence County Chamber of Commerce |
| Address Line1 | 101 Main Street |
| Address Line2 | |
| City | CANTON |
| State | NY |
| Postal Code - Plus4 | 13617 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$29,760.00 |
| Date Grant Awarded | 8/8/2016 |
| Purpose of Grant | Equipment and Fixed Asset Acquisition |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

| | |
|--|--|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Town of Hermon |
| Address Line1 | 109 Church Street |
| Address Line2 | |
| City | HERMON |
| State | NY |
| Postal Code - Plus4 | 13652 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$9,000.00 |
| Date Grant Awarded | 6/13/2017 |
| Purpose of Grant | Land Acquisition/Development /Infrastructure Costs (i.e., Water/Sewer) |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | |
|--|---|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Town of Lisbon |
| Address Line1 | PO Box 98 |
| Address Line2 | |
| City | LISBON |
| State | NY |
| Postal Code - Plus4 | 13658 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$113,486.00 |
| Date Grant Awarded | 6/13/2017 |
| Purpose of Grant | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

| | |
|--|--|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Town of Waddington |
| Address Line1 | PO Box 338 |
| Address Line2 | |
| City | WADDINGTON |
| State | NY |
| Postal Code - Plus4 | 13694 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$10,108.80 |
| Date Grant Awarded | 6/13/2017 |
| Purpose of Grant | Land Acquisition/Development /Infrastructure Costs (i.e., Water/Sewer) |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | |
|--|--|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Town of Waddington |
| Address Line1 | PO Box 338 |
| Address Line2 | |
| City | WADDINGTON |
| State | NY |
| Postal Code - Plus4 | 13694 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$55,364.00 |
| Date Grant Awarded | 8/8/2016 |
| Purpose of Grant | Land Preservation/Resource Conservation/Recreation |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

| | |
|--|---|
| Source of Grant Funds | Other |
| Name of Grant Recipient | Village of Massena/Massena BDC |
| Address Line1 | 60 Main Street |
| Address Line2 | |
| City | MASSENA |
| State | NY |
| Postal Code - Plus4 | 13662 |
| Province/Region | |
| Country | United States |
| Amount of Grant Award Provided During Reporting Year | \$26,980.00 |
| Date Grant Awarded | 8/8/2016 |
| Purpose of Grant | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Was the Grant Expected to Result in New Jobs Being Created? | No |
| If yes, How Many Jobs Were Planned to be Created? | |
| If yes, How Many Jobs Have Been Created to Date? | |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

Loan Information

| | | | |
|-------------------------------|--------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$218,160.00 |
| Name of Loan Recipient | Atlantic Testing | Date Loan Awarded | 1/26/2017 |
| Address Line1 | 6431 US Highway 11 | Interest Rate (%) | 2.88 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$52,789.46 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 2 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$15,000.00 |
| Name of Loan Recipient | BH Trailers | Date Loan Awarded | 1/21/2018 |
| Address Line1 | 95 Lincoln Avenue | Interest Rate (%) | 3.25 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$748.17 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 5 |
| Province/Region | | If Yes, how many jobs have been created to date? | 3 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|-----------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$78,050.00 |
| Name of Loan Recipient | BlastBoss, Inc. | Date Loan Awarded | 1/4/2017 |
| Address Line1 | 7814 State Highway 68 | Interest Rate (%) | 2.88 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$29,900.73 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 3 |
| Province/Region | | If Yes, how many jobs have been created to date? | 3 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|---------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$30,000.00 |
| Name of Loan Recipient | Bregg Winery | Date Loan Awarded | 7/26/2018 |
| Address Line1 | 56 West Main Street | Interest Rate (%) | 3.5 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | NORFOLK | Amount of Loan Principal Repaid to Date | \$1,127.82 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13667 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|----------------------------------|---|----------------------------|
| Source of Loan Funds | Not for Profit | Original Amount of Loan | \$450,000.00 |
| Name of Loan Recipient | Canexsys Networks Inc. | Date Loan Awarded | 9/15/2017 |
| Address Line1 | 820 Commerce Park Drive, Suite D | Interest Rate (%) | 3.13 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$73,597.65 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 10 |
| Province/Region | | If Yes, how many jobs have been created to date? | 12 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|--------------------|---|--|
| Source of Loan Funds | Other | Original Amount of Loan | \$23,532.00 |
| Name of Loan Recipient | City of Ogdensburg | Date Loan Awarded | 11/14/2014 |
| Address Line1 | 330 Ford Street | Interest Rate (%) | 1 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$17,935.20 |
| State | NY | Purpose of Loan | Land Acquisition/Development /Infrastructure Costs (i.e., Water/Sewer) |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|-------------------------------------|---|---|
| Source of Loan Funds | Other | Original Amount of Loan | \$150,000.00 |
| Name of Loan Recipient | Clifton Fine Healthcare Corporation | Date Loan Awarded | 7/22/2010 |
| Address Line1 | PO Box 10 | Interest Rate (%) | 2.63 |
| Address Line2 | 1014 Oswegatchie Trail | Length of Loan(# of years to repay) | 15 |
| City | STAR LAKE | Amount of Loan Principal Repaid to Date | \$67,355.79 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13690 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 0 |
| Province/Region | | If Yes, how many jobs have been created to date? | 0 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|------------------------------|---|---------------------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$100,000.00 |
| Name of Loan Recipient | Curran Renewable Energy, LLC | Date Loan Awarded | 7/11/2012 |
| Address Line1 | 20 Commerce Drive | Interest Rate (%) | 2.65 |
| Address Line2 | | Length of Loan(# of years to repay) | 15 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$35,448.27 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|------------------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$1,500,000.00 |
| Name of Loan Recipient | Curran Renewable Energy, LLC | Date Loan Awarded | 3/18/2016 |
| Address Line1 | 20 Commerce Drive | Interest Rate (%) | 2.75 |
| Address Line2 | | Length of Loan(# of years to repay) | 1 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$176,335.93 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | 0 |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|----------------------------------|---|---|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$11,200.00 |
| Name of Loan Recipient | Felician House Bed and Breakfast | Date Loan Awarded | 2/1/2008 |
| Address Line1 | 1 Powers Street | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$11,200.00 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|---------------------------|---|--|
| Source of Loan Funds | Other | Original Amount of Loan | \$103,000.00 |
| Name of Loan Recipient | First Class Aire, LLC | Date Loan Awarded | 9/12/2014 |
| Address Line1 | 495 West Parishville Road | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$22,705.64 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 4 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

| | | | |
|-------------------------------|--|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | GT Speed Shop / Waddington Auto Parts | Date Loan Awarded | 10/17/2012 |
| Address Line1 | 87 West Lincoln Avenue | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | WADDINGTON | Amount of Loan Principal Repaid to Date | \$8,233.88 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13694 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|------------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$50,000.00 |
| Name of Loan Recipient | Gilbert Holdings, Inc. | Date Loan Awarded | 3/23/2012 |
| Address Line1 | 350 Taylor Road | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$29,138.91 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 3 |
| Province/Region | | If Yes, how many jobs have been created to date? | 0 |
| Country | United States | Have the terms of the loan been completed? | Yes |

| | | | |
|-------------------------------|---------------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Hebel Welding and Machine | Date Loan Awarded | 8/28/2014 |
| Address Line1 | 350 Old State Road | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | RENSSELAER FALLS | Amount of Loan Principal Repaid to Date | \$20,000.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13680 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

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|-------------------------------|------------------------|---|--|
| Source of Loan Funds | Other | Original Amount of Loan | \$73,000.00 |
| Name of Loan Recipient | High Peaks Winery, LLC | Date Loan Awarded | 5/2/2014 |
| Address Line1 | 801 Pickle Street | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 15 |
| City | WINTHROP | Amount of Loan Principal Repaid to Date | \$18,315.93 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13697 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|--|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$200,000.00 |
| Name of Loan Recipient | Hoosier Magnetics - Kiln and Dust Collector | Date Loan Awarded | 9/18/2015 |
| Address Line1 | 110 Denny Street | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$61,754.32 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 3 |
| Province/Region | | If Yes, how many jobs have been created to date? | 0 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|----------------------|---|--|
| Source of Loan Funds | Other | Original Amount of Loan | \$17,500.00 |
| Name of Loan Recipient | Hozmerica, LLC | Date Loan Awarded | 7/21/2015 |
| Address Line1 | 54 East River Street | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | OGDENSBURG | Amount of Loan Principal Repaid to Date | \$12,040.54 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13669 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 6 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$75,000.00 |
| Name of Loan Recipient | Kingston Pharma | Date Loan Awarded | 7/26/2018 |
| Address Line1 | 5 County Route 42 | Interest Rate (%) | 3.5 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$4,475.67 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|---------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$96,000.00 |
| Name of Loan Recipient | Kingston Pharma LLC | Date Loan Awarded | 7/26/2018 |
| Address Line1 | 5 County Route 42 | Interest Rate (%) | 3.5 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$5,726.05 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 5 |
| Province/Region | | If Yes, how many jobs have been created to date? | 35 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$100,276.49 |
| Name of Loan Recipient | Kington Pharma | Date Loan Awarded | 1/4/2017 |
| Address Line1 | 5 County Route 42 | Interest Rate (%) | 3.13 |
| Address Line2 | | Length of Loan(# of years to repay) | 4 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$24,956.13 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 0 |
| Province/Region | | If Yes, how many jobs have been created to date? | 5 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|-------------------------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$100,000.00 |
| Name of Loan Recipient | LC Drives | Date Loan Awarded | 6/2/2017 |
| Address Line1 | 65 Main Street, Peyton Hall, Box 21 | Interest Rate (%) | 3 |
| Address Line2 | | Length of Loan(# of years to repay) | 3 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$48,858.97 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 9 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|---------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$150,000.00 |
| Name of Loan Recipient | LC Drives | Date Loan Awarded | 2/2/2018 |
| Address Line1 | 65 Main St | Interest Rate (%) | 6 |
| Address Line2 | Peyton Hall | Length of Loan(# of years to repay) | 2 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$0.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|---------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$100,000.00 |
| Name of Loan Recipient | LC Drives | Date Loan Awarded | 5/29/2018 |
| Address Line1 | 65 Main St | Interest Rate (%) | 3.38 |
| Address Line2 | Peyton Hall | Length of Loan(# of years to repay) | 3 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$100,000.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | Yes |

| | | | |
|-------------------------------|----------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$100,000.00 |
| Name of Loan Recipient | LKR Enterprises | Date Loan Awarded | 7/15/2016 |
| Address Line1 | 4145 State Highway 3 | Interest Rate (%) | 2.75 |
| Address Line2 | | Length of Loan(# of years to repay) | 15 |
| City | STAR LAKE | Amount of Loan Principal Repaid to Date | \$11,054.94 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13690 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 7 |
| Province/Region | | If Yes, how many jobs have been created to date? | 10 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$55,000.00 |
| Name of Loan Recipient | LKR Enterprises | Date Loan Awarded | 6/15/2018 |
| Address Line1 | 107 Grove Street | Interest Rate (%) | 3.38 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | BOONVILLE | Amount of Loan Principal Repaid to Date | \$4,014.50 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13309 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-----------------------------------|---|---|
| Source of Loan Funds | Other | Original Amount of Loan | \$500,000.00 |
| Name of Loan Recipient | North American Forest Group, Inc. | Date Loan Awarded | 9/28/2018 |
| Address Line1 | 15121 State Highway 37 | Interest Rate (%) | 3.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 15 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$195.22 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 20 |
| Province/Region | | If Yes, how many jobs have been created to date? | 0 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|--------------------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$50,000.00 |
| Name of Loan Recipient | North Racquette Greenery | Date Loan Awarded | 9/16/2010 |
| Address Line1 | 486 North Racquette River Road | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$39,305.27 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 5 |
| Province/Region | | If Yes, how many jobs have been created to date? | 2 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-------------------------|---|---------------------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$400,000.00 |
| Name of Loan Recipient | Potsdam Specialty Paper | Date Loan Awarded | 6/27/2017 |
| Address Line1 | 547A Sissonville Road | Interest Rate (%) | 3.13 |
| Address Line2 | | Length of Loan(# of years to repay) | 4 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$118,220.32 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 22 |
| Province/Region | | If Yes, how many jobs have been created to date? | 3 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|----------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Proline Striping | Date Loan Awarded | 5/9/2014 |
| Address Line1 | 3525 County Route 10 | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | DE PEYSTER | Amount of Loan Principal Repaid to Date | \$20,000.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13633 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

| | | | |
|-------------------------------|---------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$200,000.00 |
| Name of Loan Recipient | Riverside Iron, LLC | Date Loan Awarded | 5/9/2014 |
| Address Line1 | 21 Water Street | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | GOUVERNEUR | Amount of Loan Principal Repaid to Date | \$81,978.06 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13642 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 12 |
| Province/Region | | If Yes, how many jobs have been created to date? | 11 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|-----------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$44,600.00 |
| Name of Loan Recipient | Sandy Maine, Inc. | Date Loan Awarded | 6/8/2018 |
| Address Line1 | 1551 State Highway 72 | Interest Rate (%) | 3.38 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | POTSDAM | Amount of Loan Principal Repaid to Date | \$4,109.51 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13676 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 4 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-----------------|---|--|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Shade's Tanning | Date Loan Awarded | 10/17/2012 |
| Address Line1 | 405 Larue Road | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$2,346.57 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|-----------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Sharrow's Home Repair | Date Loan Awarded | 5/9/2014 |
| Address Line1 | 21 Doran Road | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | STAR LAKE | Amount of Loan Principal Repaid to Date | \$20,000.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13690 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | Yes |

| | | | |
|-------------------------------|-----------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Sharrow's Home Repair | Date Loan Awarded | 10/12/2018 |
| Address Line1 | 21 Doran Road | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | STAR LAKE | Amount of Loan Principal Repaid to Date | \$0.00 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13690 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 1 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|---------------------------|---|--|
| Source of Loan Funds | Other | Original Amount of Loan | \$700,000.00 |
| Name of Loan Recipient | St. Lawrence County IDA | Date Loan Awarded | 12/4/2014 |
| Address Line1 | 19 Commerce Lane, Suite 1 | Interest Rate (%) | 1 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$129,082.41 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|---------------------------|---|--|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$700,000.00 |
| Name of Loan Recipient | St. Lawrence County IDA | Date Loan Awarded | 12/4/2014 |
| Address Line1 | 19 Commerce Lane, Suite 1 | Interest Rate (%) | .5 |
| Address Line2 | | Length of Loan(# of years to repay) | 30 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$87,379.96 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|--|---|---|
| Source of Loan Funds | Other | Original Amount of Loan | \$750,000.00 |
| Name of Loan Recipient | St. Lawrence County IDA - Rail Project | Date Loan Awarded | 6/29/2016 |
| Address Line1 | 19 Commerce Lane, Suite 1 | Interest Rate (%) | 1.5 |
| Address Line2 | | Length of Loan(# of years to repay) | 3 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$0.00 |
| State | NY | Purpose of Loan | Commercial Property Construction/Acquisition/Revitalization/Improvement |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | No |
| Plus4 | | If Yes, how many jobs were planned to be created? | |
| Province/Region | | If Yes, how many jobs have been created to date? | |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-----------------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$300,000.00 |
| Name of Loan Recipient | Structural Wood Corporation | Date Loan Awarded | 12/20/2011 |
| Address Line1 | 243 Lincoln Avenue | Interest Rate (%) | 2.63 |
| Address Line2 | | Length of Loan(# of years to repay) | 10 |
| City | WADDINGTON | Amount of Loan Principal Repaid to Date | \$201,859.72 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13694 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 25 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|-----------------------|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Susan's Stitches, LLC | Date Loan Awarded | 8/23/2012 |
| Address Line1 | 216 Rensselaer Street | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | RENSSELAER FALLS | Amount of Loan Principal Repaid to Date | \$7,891.31 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13680 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 2 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|-----------------------|---|----------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$30,000.00 |
| Name of Loan Recipient | Swift Labs, LLC | Date Loan Awarded | 9/22/2016 |
| Address Line1 | 351 East Orvis Street | Interest Rate (%) | 2.75 |
| Address Line2 | | Length of Loan(# of years to repay) | 7 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$9,041.72 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 3 |
| Province/Region | | If Yes, how many jobs have been created to date? | 3 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019

Status: CERTIFIED

Certified Date: 03/28/2019

| | | | |
|-------------------------------|------------------------------|---|---------------------------------------|
| Source of Loan Funds | Other | Original Amount of Loan | \$97,000.00 |
| Name of Loan Recipient | Thew Associates PE/LS, PLLC. | Date Loan Awarded | 10/5/2018 |
| Address Line1 | 6341 US Highway 11 | Interest Rate (%) | 3.68 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | CANTON | Amount of Loan Principal Repaid to Date | \$2,967.62 |
| State | NY | Purpose of Loan | Equipment and Fixed Asset Acquisition |
| Postal Code | 13617 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 2 |
| Province/Region | | If Yes, how many jobs have been created to date? | 6 |
| Country | United States | Have the terms of the loan been completed? | No |

| | | | |
|-------------------------------|--|---|----------------------------|
| Source of Loan Funds | Municipal | Original Amount of Loan | \$20,000.00 |
| Name of Loan Recipient | Wright's Tool Sales Rental and Service | Date Loan Awarded | 8/27/2015 |
| Address Line1 | 37 Malby Avenue | Interest Rate (%) | 4 |
| Address Line2 | | Length of Loan(# of years to repay) | 5 |
| City | MASSENA | Amount of Loan Principal Repaid to Date | \$11,572.32 |
| State | NY | Purpose of Loan | Business Expansion/Startup |
| Postal Code | 13662 | Was the loan expected to result in new jobs being created? | Yes |
| Plus4 | | If Yes, how many jobs were planned to be created? | 1 |
| Province/Region | | If Yes, how many jobs have been created to date? | 2 |
| Country | United States | Have the terms of the loan been completed? | No |

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation

Fiscal Year Ending: 12/31/2018

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Certified Date: 03/28/2019

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Annual Report for St. Lawrence County Industrial Development Agency Local Development Corporation
Fiscal Year Ending: 12/31/2018

Run Date: 03/29/2019
Status: CERTIFIED
Certified Date: 03/28/2019

Additional Comments